

Item 1 Cover Page



FORM ADV PART 2B BROCHURE SUPPLEMENT

June 2, 2021

Edge Capital Group, LLC
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Atlanta, Georgia 30339

Main Office: (404) 890–7707 | www.edgecappartners.com

This brochure supplement provides information about the qualifications and business practices of Edge Capital Group’s supervised persons with the most significant responsibility for the day-to-day discretionary advice. If you have any questions about the contents of this brochure, please contact us at (404) 890–7707 or compliance@edgecappartners.com. The title “Registered Investment Adviser” is not meant to imply a certain level of skill or training and the information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Additional information about Edge Capital Group also is available on the SEC’s website at www.adviserinfo.sec.gov.

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Ava Arjmand – Vice President

Born: 1984

Location: Atlanta, GA

Phone: (470) 575-0214 **Email:** aarjmand@edgecappartners.com

Item 2 Education Background and Business Experience

Education

University of Georgia, BBA

Business Experience

Edge Capital Group, LLC; Portfolio Manager; May 2020 to Present
Rockefeller Financial; Vice President of Investments; September 2019 – January 2020
Merrill Lynch; Private Wealth Relationship Manager; October 2007 – August 2019

Item 3 Disciplinary Information

Ava Arjmand has no reportable disciplinary history.

Item 4 Other Business Activities

Investment–Related Activities

Ava Arjmand is not engaged in any other investment–related activities. She does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

Non–Investment–Related Activities

Ava Arjmand is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of her time.

Item 5 Additional Compensation

Ava Arjmand receives no economic benefit outside the normal scope and employee compensation for specific duties as a portfolio manager for Edge Capital Group.

Item 6 Supervision

Edge Capital Group's partners and employees are required to adhere to our Firm's Code of Ethics and written compliance policies and procedures. Additionally, internal controls assist in the supervision of all functions within the Firm. As Managing Partner, Harry Jones is responsible for supervising Ava Arjmand's advisory activities. Harry can be reached at (404) 890-7707.

Ansel Davis, JD – Managing Director

Born: 1950

Address: 3810 Bedford Avenue, Suite 200, Nashville, TN 37215

Phone: (615) 645-7736 **Email:** adavis@edgecappartners.com

Item 2 Education Background and Business Experience

Education

University of Kentucky, BA
University of Kentucky, JD

Business Experience

Edge Capital Group, LLC; Senior Financial Advisor; August 2018 to Present
Edge Advisors, LLC (Predecessor Firm); Senior Financial Advisor; February 2018 to July 2018
Ansel L. Davis PLLC; Founder and Managing Member; August 2001 to January 2018

Item 3 Disciplinary Information

Ansel Davis has no reportable disciplinary history.

Item 4 Other Business Activities

Investment–Related Activities

Ansel Davis is not engaged in any other investment–related activities. He does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

Non–Investment–Related Activities

Ansel Davis is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Item 5 Additional Compensation

Ansel Davis receives no economic benefit outside the normal scope and employee compensation for specific duties as an investment adviser representative for Edge Capital Group.

Item 6 Supervision

Edge Capital Group's partners and employees are required to adhere to our Firm's Code of Ethics and written compliance policies and procedures. Additionally, internal controls assist in the supervision of all functions within the Firm. As Managing Partner, Harry Jones is responsible for supervising Ansel Davis's advisory activities. Harry can be reached at (404) 890-7707.

Lamar (Whit) Davis, III – Partner

Born: 1975

Location: Atlanta, GA

Phone: (404) 890-7709 **Email:** wdavis@edgecappartners.com

Item 2 Education Background and Business Experience

Education

University of the South, BA
Emory University, MBA

Business Experience

Edge Capital Group, LLC; Portfolio Manager; August 2018 to Present
Edge Advisors, LLC (Predecessor Firm); Portfolio Manager; July 2007 to July 2018
Credit Suisse First Boston LLC; Relationship Manager; July 2006 to June 2007
Credit Suisse First Boston LLC; Sales Associate; January 2003 to June 2006

Item 3 Disciplinary Information

Whit Davis has no reportable disciplinary history.

Item 4 Other Business Activities

Investment-Related Activities

Other than acting as an Associated Person of Edge's Commodity Pool Operator registered with the CFTC, Whit Davis is not engaged in any other investment-related activities. He does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

Non-Investment-Related Activities

Whit Davis is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Item 5 Additional Compensation

Whit Davis receives no economic benefit outside the normal scope and employee compensation for specific duties as an investment adviser representative for Edge Capital Group. Whit may directly or indirectly receive earn-out or other compensation from Focus Financial Partners, LLC, the indirect parent company of Edge.

Item 6 Supervision

Edge Capital Group's partners and employees are required to adhere to our Firm's Code of Ethics and written compliance policies and procedures. Additionally, internal controls assist in the supervision of all functions within the Firm. As Managing Partner, Harry Jones is responsible for supervising Whit Davis's advisory activities. Harry can be reached at (404) 890-7707.

Edward (Neal) Deaton, CFP – Director

Born: 1974

Address: 200 South College Street, Suite 1520, Charlotte, NC 28202

Phone: (704) 973-0551 **Email:** ndeaton@edgcapartners.com

Item 2 Education Background and Business Experience

Education

University of North Carolina at Chapel Hill, BA
The College of William & Mary, MBA

Business Experience

Edge Capital Group, LLC; Portfolio Manager; October 2018 to Present
Carolina Capital Consulting, Inc.; Associate Advisor; October 2017 to April 2018
Rinehart Wealth Management; Associate Wealth Advisor; April 2014 to February 2016

Item 3 Disciplinary Information

Neal Deaton has no reportable disciplinary history.

Item 4 Other Business Activities

Investment–Related Activities

Neal Deaton is not engaged in any other investment–related activities. He does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

Non–Investment–Related Activities

Neal Deaton is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Item 5 Additional Compensation

Neal Deaton receives no economic benefit outside the normal scope and employee compensation for specific duties as an investment adviser representative for Edge Capital Group.

Item 6 Supervision

Edge Capital Group's partners and employees are required to adhere to our Firm's Code of Ethics and written compliance policies and procedures. Additionally, internal controls assist in the supervision of all functions within the Firm. As Managing Partner, Harry Jones is responsible for supervising Neal Deaton's advisory activities. Harry can be reached at (404) 890-7707.

William deButts, III, CIMA – Partner

Born: 1969

Location: Atlanta, GA

Phone: (404) 865-3305 **Email:** wdebutts@edgecappartners.com

Item 2 Education Background and Business Experience

Education

Hampden-Sydney College, BA
Vanderbilt University, MBA

Business Experience

Edge Capital Group, LLC; Senior Financial Advisor; August 2018 to Present
Edge Advisors, LLC (Predecessor Firm); Senior Financial Advisor; 2014 to July 2018
Glenmore Advisors, LLC; Member, CEO, and CCO; February 2010 to October 2014

Item 3 Disciplinary Information

William deButts has no reportable disciplinary history.

Item 4 Other Business Activities

Investment–Related Activities

William deButts is not engaged in any other investment–related activities. He does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

Non–Investment–Related Activities

William deButts is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Item 5 Additional Compensation

William deButts receives no economic benefit outside the normal scope and employee compensation for specific duties as an investment adviser representative for Edge Capital Group. William may directly or indirectly receive earn-out or other compensation from Focus Financial Partners, LLC, the indirect parent company of Edge.

Item 6 Supervision

Edge Capital Group's partners and employees are required to adhere to our Firm's Code of Ethics and written compliance policies and procedures. Additionally, internal controls assist in the supervision of all functions within the Firm. As Managing Partner, Harry Jones is responsible for supervising William deButts's advisory activities. Harry can be reached at (404) 890-7707.

William Guthrie – Financial Advisor

Born: 1992

Location: Dallas, TX

Phone: (214) 442-8956 **Email:** wguthrie@edgecappartners.com

Item 2 Education Background and Business Experience

Education

Southern Methodist Univeristy

Business Experience

Edge Capital Group, LLC; Financial Advisor; May 2017 to Present.

Merrill Lynch; Financial Advisor; November 2015 – March 2017

Item 3 Disciplinary Information

William Guthrie has no reportable disciplinary history.

Item 4 Other Business Activities

Investment–Related Activities

William Guthrie is not engaged in any other investment–related activities. She does not receive commissions, bonuses, or other compensation on the sale of securities or other investment products.

Non–Investment–Related Activities

William Guthrie is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of her time.

Item 5 Additional Compensation

William deButts receives no economic benefit outside the normal scope and employee compensation for specific duties as an investment adviser representative for Edge Capital Group. William may directly or indirectly receive earn-out or other compensation from Focus Financial Partners, LLC, the indirect parent company of Edge.

Item 6 Supervision

Edge Capital Group’s partners and employees are required to adhere to our Firm’s Code of Ethics and written compliance policies and procedures. Additionally, internal controls assist in the supervision of all functions within the Firm. As Managing Partner, Harry Jones is responsible for supervising William deButts’s advisory activities. Harry can be reached at (404) 890-7707.

Julius (Peek) Garlington, III – Partner

Born: 1962

Address: 300 West Vine Street, Suite 2200, Lexington, KY 40507

Phone: (859) 537-7100 **Email:** pgarlington@edgecappartners.com

Item 2 Education Background and Business Experience

Education

Georgia State University, BA

Business Experience

Edge Capital Group, LLC; Senior Financial Advisor; August 2018 to Present
Edge Advisors, LLC (Predecessor Firm); Founding Partner; February 2007 to July 2018
Credit Suisse Securities (USA) LLC; Director; April 2004 to December 2006

Item 3 Disciplinary Information

Peek Garlington has no reportable disciplinary history.

Item 4 Other Business Activities

Investment-Related Activities

Peek Garlington is not engaged in any other investment-related activities. He does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

Non-Investment-Related Activities

Peek Garlington is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Item 5 Additional Compensation

Peek Garlington receives no economic benefit outside the normal scope and employee compensation for specific duties as an investment adviser representative for Edge Capital Group. Peek may directly or indirectly receive earn-out or other compensation from Focus Financial Partners, LLC, the indirect parent company of Edge.

Item 6 Supervision

Edge Capital Group's partners and employees are required to adhere to our Firm's Code of Ethics and written compliance policies and procedures. Additionally, internal controls assist in the supervision of all functions within the Firm. As Managing Partner, Harry Jones is responsible for supervising Peek Garlington's advisory activities. Harry can be reached at (404) 890-7707.

Stephen Halkos, CFA – Managing Director

Born: 1976

Location: Atlanta, GA

Phone: (404) 865-3309 **Email:** shalkos@edgecappartners.com

Item 2 Education Background and Business Experience

Education

University of North Texas, BA
Georgia State University, MBA

Business Experience

Edge Capital Group, LLC; Portfolio Manager; August 2018 to Present
Edge Advisors, LLC (Predecessor Firm); Portfolio Manager; October 2014 to July 2018
Glenmore Advisors; Director of Research; August 2011 to September 2014
Glenmore Advisors; Senior Analyst; May 2010 to July 2011

Item 3 Disciplinary Information

Stephen Halkos has no reportable disciplinary history.

Item 4 Other Business Activities

Investment-Related Activities

Stephen Halkos is not engaged in any other investment-related activities. He does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

Non-Investment-Related Activities

Stephen Halkos is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Item 5 Additional Compensation

Stephen Halkos receives no economic benefit outside the normal scope and employee compensation for specific duties as an investment adviser representative for Edge Capital Group.

Item 6 Supervision

Edge Capital Group's partners and employees are required to adhere to our Firm's Code of Ethics and written compliance policies and procedures. Additionally, internal controls assist in the supervision of all functions within the Firm. As Managing Partner, Harry Jones is responsible for supervising Stephen Halkos's advisory activities. Harry can be reached at (404) 890-7707.

Anna Katharyn (AK) Hendrix, CFP – Partner

Born: 1971

Location: Atlanta, GA

Phone: (404) 890-7705 **Email:** akhendrix@edgecappartners.com

Item 2 Education Background and Business Experience

Education

University of North Carolina at Chapel Hill, BSBA
Georgia State University MS, Personal Financial Planning

Business Experience

Edge Capital Group, LLC; Client Specialist Team Lead; August 2018 to Present
Edge Advisors, LLC (Predecessor Firm); Client Specialist Team Lead; January 2010 to July 2018
Family Wealth Services; Family Office Administrator; August 2007 to March 2010

Item 3 Disciplinary Information

AK Hendrix has no reportable disciplinary history.

Item 4 Other Business Activities

Investment–Related Activities

AK Hendrix is not engaged in any other investment–related activities. She does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

Non–Investment–Related Activities

AK Hendrix is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of her time.

Item 5 Additional Compensation

AK Hendrix receives no economic benefit outside the normal scope and employee compensation for specific duties as an investment adviser representative for Edge Capital Group. AK may directly or indirectly receive earn-out or other compensation from Focus Financial Partners, LLC, the indirect parent company of Edge.

Item 6 Supervision

Edge Capital Group's partners and employees are required to adhere to our Firm's Code of Ethics and written compliance policies and procedures. Additionally, internal controls assist in the supervision of all functions within the Firm. As Managing Partner, Harry Jones is responsible for supervising AK Hendrix's advisory activities. Harry can be reached at (404) 890-7707.

Henry (Harry) Jones – Managing Partner

Born: 1971

Location: Atlanta, GA

Phone: (404) 890-7711 **Email:** hjones@edgecappartners.com

Item 2 Education Background and Business Experience

Education

Hampden-Sydney College, BA
University of North Carolina, MBA

Business Experience

Edge Capital Group, LLC; Managing Partner; August 2018 to Present
Edge Capital Partners, LLC/Edge Advisors, LLC (Predecessor Firms); Founding Partner;
September 2006 to July 2018
Credit Suisse First Boston LLC; Director; January 2003 to August 2006

Item 3 Disciplinary Information

Harry Jones has no reportable disciplinary history.

Item 4 Other Business Activities

Investment-Related Activities

Harry Jones is not engaged in any other investment-related activities. He does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

Non-Investment-Related Activities

Harry Jones is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Item 5 Additional Compensation

Harry Jones receives no economic benefit outside the normal scope and employee compensation for specific duties as an investment adviser representative for Edge Capital Group. Harry may directly or indirectly receive earn-out or other compensation from Focus Financial Partners, LLC, the indirect parent company of Edge.

Item 6 Supervision

Edge Capital Group's partners and employees are required to adhere to our Firm's Code of Ethics and written compliance policies and procedures. Additionally, internal controls assist in the supervision of all functions within the Firm. The Board of Directors of Edge Capital Group LLC is responsible for supervising Harry Jones's advisory activities. Will Skeeane, Chairman, can be reached at (214) 442-8955.

Brendan Keelan, CFA – Director

Born: 1985

Location: Atlanta, GA

Phone: (404) 835-3282 **Email:** bkeelan@edgecappartners.com

Item 2 Education Background and Business Experience

Education

Georgia Tech, BS

Business Experience

Edge Capital Group, LLC; Portfolio Manager; August 2018 to Present
Edge Advisors, LLC (Predecessor Firm); Portfolio Manager; August 2010 to July 2018
Stable River Capital; Portfolio Accountant; February 2008 to August 2010

Item 3 Disciplinary Information

Brendan Keelan has no reportable disciplinary history.

Item 4 Other Business Activities

Investment-Related Activities

Brendan Keelan is not engaged in any other investment-related activities. He does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

Non-Investment-Related Activities

Brendan Keelan is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Item 5 Additional Compensation

Brendan Keelan receives no economic benefit outside the normal scope and employee compensation for specific duties as an investment adviser representative for Edge Capital Group.

Item 6 Supervision

Edge Capital Group's partners and employees are required to adhere to our Firm's Code of Ethics and written compliance policies and procedures. Additionally, internal controls assist in the supervision of all functions within the Firm. As Managing Partner, Harry Jones is responsible for supervising Brendan Keelan's advisory activities. Harry can be reached at (404) 890-7707.

Elizabeth Mackie, CPA, CFP – Partner

Born: 1981

Address: 1700 South MacDill Avenue, Suite 220, Tampa, FL 33629

Phone: (404) 890-7716 **Email:** emackie@edgecappartners.com

Item 2 Education Background and Business Experience

Education

University of Florida, BS and MS

Business Experience

Edge Capital Group, LLC; Senior Financial Advisor and Porfolio Manager; August 2018 to Present

Edge Advisors, LLC (Predecessor Firm); Senior Financial Advisor and Porfolio Manager; October 2007 to July 2018

Ernst & Young LLP; Senior Accountant; August 2005 to October 2007

Item 3 Disciplinary Information

Elizabeth Mackie has no reportable disciplinary history.

Item 4 Other Business Activities

Investment–Related Activities

Elizabeth Mackie is not engaged in any other investment–related activities. She does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

Non–Investment–Related Activities

Elizabeth Mackie is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of her time.

Item 5 Additional Compensation

Elizabeth Mackie receives no economic benefit outside the normal scope and employee compensation for specific duties as an investment adviser representative for Edge Capital Group. Liz may directly or indirectly receive earn-out or other compensation from Focus Financial Partners, LLC, the indirect parent company of Edge.

Item 6 Supervision

Edge Capital Group’s partners and employees are required to adhere to our Firm’s Code of Ethics and written compliance policies and procedures. Additionally, internal controls assist in the supervision of all functions within the Firm. As Managing Partner, Harry Jones is responsible for supervising Elizabeth Mackie’s advisory activities. Harry can be reached at (404) 890-7707.

Kendrick Mattox, III, CFA – Partner

Born: 1970

Address: 200 South College Street, Suite 1520, Charlotte, NC 28202

Phone: (704) 973-0550 **Email:** kmattox@edgcapartners.com

Item 2 Education Background and Business Experience

Education

Hampden-Sydney College, BA
Georgia State University, MBA

Business Experience

Hampden-Sydney College; Senior Financial Advisor; August 2018 to Present
Edge Advisors, LLC (Predecessor Firm); Senior Financial Advisor; August 2011 to July 2018
Carolinas Investment Consulting; Consultant and Partner; May 2001 to August 2011

Item 3 Disciplinary Information

Kendrick Mattox has no reportable disciplinary history.

Item 4 Other Business Activities

Investment–Related Activities

Mr. Mattox is a passive investor in Wealthramp, Inc. which is a financial referral service. Edge Capital Partners has a referral agreement with Wealthramp, Inc. to provide referral services. Referrals from Wealthramp are matched algorithmically to advisors solely based on their responses to a Wealthramp survey. Kendrick acts as an Associated Person of Edge's Commodity Pool Operator registered with the CFTC, Kendrick Mattox is not engaged in any other investment–related activities. He does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

Non–Investment–Related Activities

Kendrick Mattox is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Item 5 Additional Compensation

Mr. Mattox' underlying investment in Wealthramp, Inc. may cause him to receive economic benefit or gain similar to traditional investing. Other than the Wealthramp investment, Kendrick Mattox receives no economic benefit outside the normal scope and employee compensation for specific duties as an investment adviser representative for Edge Capital Group. Kendrick may directly or indirectly receive earn-out or other compensation from Focus Financial Partners, LLC, the indirect parent company of Edge.

Item 6 Supervision

Edge Capital Group's partners and employees are required to adhere to our Firm's Code of Ethics and written compliance policies and procedures. Additionally, internal controls assist in the supervision of all functions within the Firm. As Managing Partner, Harry Jones is responsible for supervising Kendrick Mattox's advisory activities. Harry can be reached at (404) 890-7707.

Jacobi Padgett, CIMA – Director

Born: 1988

Location: 300 Crescent Court, Suite 1170, Dallas, TX 75201

Phone: (404) 890-7706 **Email:** jpadgett@edgecappartners.com

Item 2 Education Background and Business Experience

Education

Kennesaw State University, BBA

Business Experience

Edge Capital Group, LLC; Portfolio Manager; August 2018 to Present
Edge Advisors, LLC (Predecessor Firm); Portfolio Manager; August 2009 to July 2018

Item 3 Disciplinary Information

Jacobi Padgett has no reportable disciplinary history.

Item 4 Other Business Activities

Investment-Related Activities

Jacobi Padgett is not engaged in any other investment-related activities. He does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

Non-Investment-Related Activities

Jacobi Padgett is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Item 5 Additional Compensation

Jacobi Padgett receives no economic benefit outside the normal scope and employee compensation for specific duties as an investment adviser representative for Edge Capital Group.

Item 6 Supervision

Edge Capital Group's partners and employees are required to adhere to our Firm's Code of Ethics and written compliance policies and procedures. Additionally, internal controls assist in the supervision of all functions within the Firm. As Managing Partner, Harry Jones is responsible for supervising Jacobi Padgett's advisory activities. Harry can be reached at (404) 890-7707.

James (Jimmy) Patrick, Jr. – Partner

Born: 1965

Address: 101 N Columbia Street, Suite 200, Chapel Hill, NC 27514

Phone: (919) 590-4274 **Email:** jpatrick@edgecappartners.com

Item 2 Education Background and Business Experience

Education

University of North Carolina, BS
University of North Carolina, MBA

Business Experience

Edge Capital Group, LLC; Senior Financial Advisor; August 2018 to Present
Edge Advisors, LLC (Predecessor Firm); Senior Financial Advisor; August 2014 to July 2018
Sapere Wealth Management; Director of Marketing; July 2013 to July 2014

Item 3 Disciplinary Information

Jimmy Patrick has no reportable disciplinary history.

Item 4 Other Business Activities

Investment–Related Activities

Jimmy Patrick is not engaged in any other investment–related activities. He does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

Non–Investment–Related Activities

Jimmy Patrick is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Item 5 Additional Compensation

Jimmy Patrick receives no economic benefit outside the normal scope and employee compensation for specific duties as an investment adviser representative for Edge Capital Group. Jimmy may directly or indirectly receive earn-out or other compensation from Focus Financial Partners, LLC, the indirect parent company of Edge.

Item 6 Supervision

Edge Capital Group's partners and employees are required to adhere to our Firm's Code of Ethics and written compliance policies and procedures. Additionally, internal controls assist in the supervision of all functions within the Firm. As Managing Partner, Harry Jones is responsible for supervising Jimmy Patrick's advisory activities. Harry can be reached at (404) 890-7707.

Albert (Bert) Rayle, III – Partner

Born: 1956

Address: 300 Crescent Court, Suite 1170, Dallas, TX 75201

Phone: (214) 442-8952 **Email:** brayle@edgecappartners.com

Item 2 Education Background and Business Experience

Education

University of Vermont, BA
Dartmouth College, MBA

Business Experience

Edge Capital Group, LLC; Senior Financial Advisor; August 2018 to Present
Edge Advisors, LLC (Predecessor Firm); Founding Partner; February 2007 to July 2018
Goldman Sachs; Wealth Management; August 1982 to February 2007

Item 3 Disciplinary Information

Albert (Bert) Rayle has no reportable disciplinary history.

Item 4 Other Business Activities

Investment–Related Activities

Bert Rayle is not engaged in any other investment–related activities. He does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

Non–Investment–Related Activities

Bert Rayle is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Item 5 Additional Compensation

Bert Rayle receives no economic benefit outside the normal scope and employee compensation for specific duties as an investment adviser representative for Edge Capital Group. Bert may directly or indirectly receive earn-out or other compensation from Focus Financial Partners, LLC, the indirect parent company of Edge.

Item 6 Supervision

Edge Capital Group's partners and employees are required to adhere to our Firm's Code of Ethics and written compliance policies and procedures. Additionally, internal controls assist in the supervision of all functions within the Firm. As Managing Partner, Harry Jones is responsible for supervising Bert Rayle's advisory activities. Harry can be reached at (404) 890-7707.

Paul Robertson, III – Partner

Born: 1960

Location: Atlanta, GA

Phone: (404) 835-3280 **Email:** probertson@edgecappartners.com

Item 2 Education Background and Business Experience

Education

Furman University, BA
Emory University, MBA

Business Experience

Edge Capital Group, LLC; Senior Financial Advisor; August 2018 to Present
Edge Advisors, LLC (Predecessor Firm); Senior Financial Advisor; October 2010 to July 2018
Stable River Capital; President and COO; March 2008 to October 2010

Item 3 Disciplinary Information

Paul Robertson has no reportable disciplinary history.

Item 4 Other Business Activities

Investment–Related Activities

Paul Robertson is not engaged in any other investment–related activities. He does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

Non–Investment–Related Activities

Paul Robertson is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Item 5 Additional Compensation

Paul Robertson receives no economic benefit outside the normal scope and employee compensation for specific duties as an investment adviser representative for Edge Capital Group. Paul may directly or indirectly receive earn-out or other compensation from Focus Financial Partners, LLC, the indirect parent company of Edge.

Item 6 Supervision

Edge Capital Group's partners and employees are required to adhere to our Firm's Code of Ethics and written compliance policies and procedures. Additionally, internal controls assist in the supervision of all functions within the Firm. As Managing Partner, Harry Jones is responsible for supervising Paul Robertson's advisory activities. Harry can be reached at (404) 890-7707.

Dennis Sabo, Jr., CFA – Partner

Born: 1973

Location: Atlanta, GA

Phone: (404) 890-7724 **Email:** dsabo@edgecappartners.com

Item 2 Education Background and Business Experience

Education

University of Miami, BA
University of Georgia, MBA

Business Experience

Edge Capital Group, LLC; Senior Equity Strategist; August 2018 to Present
Edge Advisors, LLC (Predecessor Firm); Senior Equity Strategist; June 2009 to July 2018
Jodocus Capital; Analyst; December 2007 to January 2009

Item 3 Disciplinary Information

Dennis Sabo has no reportable disciplinary history.

Item 4 Other Business Activities

Investment–Related Activities

Dennis Sabo is not engaged in any other investment–related activities. He does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

Non–Investment–Related Activities

Dennis Sabo is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Item 5 Additional Compensation

Dennis Sabo receives no economic benefit outside the normal scope and employee compensation for specific duties as an investment adviser representative for Edge Capital Group. Dennis may directly or indirectly receive earn-out or other compensation from Focus Financial Partners, LLC, the indirect parent company of Edge.

Item 6 Supervision

Edge Capital Group's partners and employees are required to adhere to our Firm's Code of Ethics and written compliance policies and procedures. Additionally, internal controls assist in the supervision of all functions within the Firm. As Managing Partner, Harry Jones is responsible for supervising Dennis Sabo's advisory activities. Harry can be reached at (404) 890-7707.

William (Will) Skeeane, CFA – Partner

Born: 1980

Address: Atlanta, GA

Phone: (214) 442-8955 **Email:** wskeeane@edgecappartners.com

Item 2 Education Background and Business Experience

Education

Emory University, BBA

Business Experience

Edge Capital Group, LLC; Senior Financial Advisor; August 2018 to Present
Edge Advisors, LLC (Predecessor Firm); Founding Partner; February 2007 to July 2018
Goldman Sachs; Financial Analyst; July 2002 to February 2007

Item 3 Disciplinary Information

Will Skeeane has no reportable disciplinary history.

Item 4 Other Business Activities

Investment-Related Activities

Will Skeeane is not engaged in any other investment-related activities. He does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

Non-Investment-Related Activities

Will Skeeane is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Item 5 Additional Compensation

Will Skeeane receives no economic benefit outside the normal scope and employee compensation for specific duties as an investment adviser representative for Edge Capital Group. Will may directly or indirectly receive earn-out or other compensation from Focus Financial Partners, LLC, the indirect parent company of Edge.

Item 6 Supervision

Edge Capital Group's partners and employees are required to adhere to our Firm's Code of Ethics and written compliance policies and procedures. Additionally, internal controls assist in the supervision of all functions within the Firm. As Managing Partner, Harry Jones is responsible for supervising Will Skeeane's advisory activities. Harry can be reached at (404) 890-7707.

Certified Financial Planner®, CFP® Minimum Qualifications

The Certified Financial Planner® (CFP®) certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold a CFP certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. As of March 2020, more than 86,000 professionals in the U.S. currently hold CFP certification.

To attain the right to use the CFP marks, an individual must satisfactorily fulfill the following requirements:

Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;

Examination – Pass the comprehensive CFP Certification Examination. The examination, administered in 6 hours over a two-day period, includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;

Experience – Complete either 6,000 hours of professional experience related to the financial planning process, or 4,000 of apprenticeship experience that meets additional requirements; and

Ethics – Agree to be bound by CFP Board’s *Code of Ethics and Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP marks:

Continuing Education (CE) – Complete 30 hours of continuing education hours every two years, including two hours of CFP Board approved Ethics CE, and 28 hours of CE covering one or more of CFP Board’s Principal Topics, to maintain competence and keep up with developments in the financial planning field; and

Ethics – Renew an agreement to be bound by the *Code of Ethics and Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP professionals must provide financial planning services in the best interests of their clients.

CFP professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP certification.

Certified Investment Management Analyst[®], CIMA[®] Minimum Qualifications

The CIMA[®] certification signifies that an individual has met initial and on-going experience, ethical, education, and examination requirements for investment management consulting, including advanced investment management theory and application.

Prerequisites for the CIMA certification are three years of financial services experience and a satisfactory record of ethical conduct, as determined by Investment & Wealth Institute[®] Admissions Committee.

To obtain the CIMA certification, candidates must pass a comprehensive background check, complete an executive education program through one of the Institute's Registered Education Providers, pass a comprehensive Certification Examination, document a minimum of three years of verified work experience in financial services, pass a second background check, sign an agreement to adhere to the Investment & Wealth Institute's *Code of Professional Responsibility*, and pay a certification fee.

CIMA designees are also required to adhere to Investments & Wealth Institute's Disciplinary Rules and Procedures and Marks Usage Guide. CIMA designees must report 40 hours of continuing education credits, including two ethics hours, every two years to maintain the certification. The designation is administered through Investments & Wealth Institute formerly known as IMCA[®].

Certified Public Accountant[®], CPA[®] Minimum Qualifications

Certified Public Accountants ("CPAs") are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college education (typically 150 credit hours of education at an with at least a baccalaureate degree and a concentration in accounting), minimum experience levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination.

In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two-year period or 120 hours over a three-year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous Code of Professional Conduct which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. In addition, AICPA members who provide personal financial planning services are required to follow the Statement on Standards in Personal Financial Planning Services. Most state boards of accountancy define financial planning as the practice of public accounting and therefore have jurisdiction over CPAs practicing in this discipline.

Chartered Financial Analyst®, CFA® Minimum Qualifications

The Chartered Financial Analyst (CFA®) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute, the largest global association of investment professionals.

To earn the CFA charter, candidates must: (1) complete a bachelor's or equivalent program and have received a degree from the college/university, or have at least four years (or 48 months) of full-time professional work experience; (2) pass the exam for all three levels of curriculum; (3) submit 2-3 professional references; (4) join the CFA Institute as a regular member; and (5) commit to abide by and annually reaffirm adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, requires charterholders to place clients' interests ahead of their own, maintain independence and objectivity, act with integrity, maintain and improve professional competence, and disclose conflicts of interest and legal matters.

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession. The CFA Institute also recommends that members complete a minimum of 20 hours of Continuing Education credit activities, with a minimum of 2 hours in the topics of Standards, Ethics, and Regulations (SER) each calendar year.

Juris Doctor, J.D. Minimum Qualifications

The Juris Doctor, or J.D. is the highest education available in the legal profession in the United States. It is considered a professional degree and forms one of the educational prerequisites to the practice of law. The Juris Doctorate degree is obtained by attending a law school that has been accredited by the American Bar Association (ABA). In order to gain entrance to an ABA-approved law school, applicants must complete their undergraduate education and receive a minimum of a bachelor's degree, under ABA rules. Undergraduate schools must be accredited by a national or regional accreditation agency that is recognized by the U.S. Department of Education.

The Juris Doctorate program varies at each school as to the length and courses; there are standard guidelines, however. The average time is three years of full-time coursework in order to complete law school and earn a J.D. The J.D. prepares students to take the state bar exam allowing them to practice law in their state.